

VIAC – Questionnaire for Arbitrators

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2. Citizenship: German

3. Contact information:

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4. Current position:

Founding Partner of BAUMANN Resolving Disputes

5. Education:

Universities of Osnabrück, Geneva and London
University of California at Berkeley (LL.M.)

6. Practiced experience in arbitration

- How many arbitrations have you participated in (domestic/international); under which Rules?
More than 122 arbitrations under e.g. ICC, DIS, SIAC, SCAI, UNCITRAL and ad-hoc
- How often have you acted as Chairman?
More than 30 arbitrations
- How often have you acted as Sole Arbitrator?
Approx. 10 arbitrations
- How often have you acted as Co-Arbitrator?
Approx. 25 arbitrations
- How often have you acted as Counsel?
More than 50 arbitrations
- How often have you acted in a different function (e.g. Administrative Secretary)
3

7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

- Honorary Professor at the University of Osnabrueck: Teaching assignment inter alia for International Arbitration, Negotiation Techniques and Mediation
- Regular Lecturer at the Bucerius Law School, Hamburg: Post M&A Disputes
- Lecturer at various arbitration conferences: e.g.
 - „Preisänderungsklauseln, Preisanpassungsklauseln und Wirtschaftsklauseln in langfristigen Verträgen, insbesondere im Bereich des Energiewirtschaftsrechts“, Osnabrücker Schiedsgerichtstag, November 2019
 - „Die Beteiligung Dritter am Schiedsverfahren“, Konstanzer Schiedsforum, September 2019
 - „International IP Arbitration“, Mannheimer IP Forum, July 2019
 - „Technical Evolution in International Arbitration – Are institutions and arbitrators ready?“, IADC European Meeting, Istanbul, May 2019
 - „How to make international arbitration proceedings more efficient – Will the Prague Rules help?“, IADC Mid-Year Meeting, Santa Barbara, February 2019
 - "Insolvenz und Schiedsvereinbarung - Herausforderungen und Perspektiven für die Schiedsgerichtsbarkeit im Umfeld von Insolvenzen", DIS Spring Conference, April 2018
 - „Einschaltung von Schiedsgerichten zur Bestimmung der FRAND-Konditionen“, GRUR Annual Meeting, September 2017
 - „Energy Arbitration“, at the occasion of the founding of an Energy Arbitration Group, September 2017
- Publications (selection):
 - Co-Editor of the Practitioner's Handbook on International Commercial Arbitration (with F.-B. Weigand), Oxford University Press, 3rd ed. 2019
 - Commentary on ICC Arbitration Rules 2017, in: Weigand/Baumann (eds), Practitioner's Handbook on International Commercial Arbitration, Oxford University Press, 3rd ed. 2019, pp. 951-1166
 - Introduction to International Arbitration, in: Weigand/Baumann (eds), Practitioner's Handbook on International Commercial Arbitration, Oxford University Press, 3rd ed. 2019, pp. 3-86 (with Tanja Pfitzner)
 - "Schiedsgerichtsbarkeit und EuGVVO: Löst die Novelle die problematischen Abgrenzungsfragen?", Liber Amicorum Hans-Jürgen Ahrens, Carl Heymanns, 2016, pp. 467 ff.
 - „New Forms of Third-Party Funding in International Arbitration: Investing in Case-Portfolios and Financing Law Firms“, in: IJAL Volume 7, Issue 2 (2019), pp. 28 ff.
 - „Einschaltung von Schiedsgerichten zur Bestimmung der FRAND-Konditionen“, in: GRUR 2018, pp. 145 ff.
 - „Schiedsfähigkeit I, II oder III – Ob Ihr Recht habt oder nicht, sagt Euch der BGH: Zur Schiedsfähigkeit von Beschlussmängelstreitigkeiten in Personengesellschaften“, in: BB 2017, Issue 35, pp. 1993 ff. (jointly with Dr. Benjamin Wagner).

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

- German Arbitration Institute (DIS e.V.)
- ICC – Member of Arbitration Commission and of the Task Force “Addressing Issues of Corruption in International Arbitration”
- Swiss Arbitration Association (ASA)
- Arbitral Woman
- International Bar Association (IBA)
- International Association of Defense Counsel (IADC) – Vice-Chair of Programs
- Hamburg Arbitration Circle
- Mediation and Conciliation Network (MCN)

9. Languages

Mother tongue: German

Working languages: English and French

10. In which legal systems have you trained?

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input checked="" type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input checked="" type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law <input type="checkbox"/> | <input type="checkbox"/> European Law |

Other _____

11. What is your main jurisdiction of practice?

Germany

12. In which jurisdictions are you admitted to the bar?

Germany and New York (Attorney-at-Law)

13. Special expertise or specializations (please list a maximum of five):

- | | | | |
|---|--|--|---|
| <input type="checkbox"/> Antitrust/Unfair competition | <input type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Power plants |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Private Intern. Law |
| <input type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input type="checkbox"/> ISDS/ Foreign invest. | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> CISG | <input checked="" type="checkbox"/> Energy | <input checked="" type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input type="checkbox"/> Licensing | <input type="checkbox"/> Sports |
| <input checked="" type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | <input type="checkbox"/> Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Commodity market | <input type="checkbox"/> Healthcare & Pharmac. | <input checked="" type="checkbox"/> Maritime arbitration | <input type="checkbox"/> Technology |
| <input checked="" type="checkbox"/> Company/Corporate/M&A | <input type="checkbox"/> Hotel/Gastro./Tour. | <input type="checkbox"/> Media | <input type="checkbox"/> Telecommunication |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
| <input type="checkbox"/> Contract law | <input type="checkbox"/> Infrastructure | <input type="checkbox"/> Natural resources | |
| <input type="checkbox"/> Other _____ | | | |

14. Date of birth: 02.07.1972

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x I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

x I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

10 June 2020

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Date Signature