

# VIAC – Questionnaire for Arbitrators

1. **Name:** ROBERT B. MORTON

2. **Citizenship:** USA

3. **Contact information:**

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4. **Current position:** Attorney-at-Law; Arbitrator; and Hearing Officer

5. **Education:** HARVARD LAW SCHOOL (Juris Doctorate, 1982)

University of Michigan (B.A., Political Science, 1978) – Phi Beta Kappa Honors

6. **Practiced experience in arbitration**

- How many arbitrations have you participated in (domestic/international); under which Rules?

More than 100++, under various ADR Org. Rules

- How often have you acted as Chairman?

More than 50

- How often have you acted as Sole Arbitrator?

More than 100+

- How often have you acted as Co-Arbitrator?

25-50

- How often have you acted as Counsel?

10

- How often have you acted in a different function (e.g. Administrative Secretary)?

None.

7. **Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):**

Invited Speaker at National Arbitration Association (NASD) national Arbitrator Training Conf. – subject: Acting as Emergency Arbitrator for Interim Injunctions

## 8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

**ROBERT B. MORTON, is also a highly experienced Arbitrator and Hearing Officer**, having presided in 100+ Arbitration hearings, accredited by these national and international ADR organizations:

- State of Illinois Board of Education – **Administrative Law Judge (Hearing Officer)** for appeals of tenured Teachers employment disciplinary actions (terminations & suspensions)
- American Arbitration Association – National Roster of Arbitrators
- Chicago International Dispute Resolution Association (CIDRA) – accredited Arbitrator
- Indonesian National Board of Arbitration (BANI) – International Arbitrator panelist
- World Intellectual Property Organization (WIPO) – approved List IP Arbitrators
- Arbitration Center of Mexico (CAM) – accredited International Arbitrator
- British Columbia International Commercial Arb. Ctr (BCICAC) – accredited Arbitrator
- China International Economic & Trade Arb. Commission (CIETAC) – accredited Arbitrator
- Indonesia’s BANI Arbitration Center (BANI) – accredited International Arbitrator
- Malaysia’s Asian International Arbitration Centre (AIAC) – accredited Arbitrator
- Philippine’s Dispute Resolution Centre (PDRC) – accredited International Arbitrator
- Arb. Court for Economic Chamber of Czech Republic (Prague) – accredited Arbitrator
- Cairo Regional Centre for International Commercial Arb. (CRCICA) – accredited Arbitrator
- Dubai International Arbitration Centre (DIAC) – accredited Arbitrator
- Venice Chamber of Arbitrators (Camera Arbitrale di Venezia) – Register of Arbitrators
- Beijing International Arbitration Center (BIAC) – accredited Foreign Arbitrator
- Shanghai International Arbitration Center (SHIAC) – accredited Foreign Arbitrator
- Shenzhen Court of International Arbitration (SCIA) – accredited Foreign Arbitrator
- National Association of Securities Dealers (NASD) (a/k/a FINRA) – certified public Arbitrator (past) ---and- Former invited Speaker at National Arbitrator Skills Training Conference re: Interim Injunctions
- New York Stock Exchange (NYSE) – certified (public) Arbitrator (past)
- Chicago Board Options Exchange- certified (public) Arbitrator (past)
- National Future’s Association- certified public Arbitrator (past)
- Circuit Court of Cook County, State of Illinois - certified Arbitrator & Chairman (past)

### **Representative Legal and ADR Matters:**

- Chair/Sole-Arbitrator in 1<sup>st</sup>-ever nationwide NASD emergency injunction case involving claims of broker employer raiding, interference with contract, and non-compete agreement
- Chair/Sole-Arbitrator in \$20 Million partnership dissolution of real estate developers
- Chairman of 3-Arbitrator Panel in case of corporate executive claim for wrongful termination, breach of employment agreement, and counterclaim for trade secret & non-compete violations
- Chair/Sole Hearing Officer in public school tenured teacher employment dismissal appeals
- Chairman of 3-Arbitrator Panel in case of securities brokerage Vice-President’s multi-million dollar severance compensation dispute, and counter-claim of non-compete violations
- Chairman of 3-Arbitrator Panel - wrongful employment termination & discrimination
- Chairman of 3-Arbitrator Panels in numerous multi-million dollar securities disputes
- Chair/Sole Arbitrator in numerous liability insurance coverage disputes

## 9. Languages

Mother tongue: **ENGLISH**

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): **ENGLISH**

## 10. In which legal systems have you trained?

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law                  |  |
| <input type="checkbox"/> Austrian Law         | <input type="checkbox"/> Hungarian Law                          | <input type="checkbox"/> Swiss Law     |
| <input type="checkbox"/> Czech Law            | <input type="checkbox"/> Polish Law                             | <input type="checkbox"/> Ukrainian Law |
| <input type="checkbox"/> German Law           | <input type="checkbox"/> Slovakian Law <input type="checkbox"/> | <input type="checkbox"/> European Law  |

Other \_\_\_\_\_

## 11. What is your main jurisdiction of practice?

USA

## 12. Special expertise or specializations (please list a maximum of five):

- |   |  |   |   |
|---|--|---|---|
| <input type="checkbox"/> Antitrust/Unfair competition               | <input type="checkbox"/> Damages                 | <input type="checkbox"/> Insolvency               | <input type="checkbox"/> Power plants           |
| <input type="checkbox"/> Aviation                                   | <input type="checkbox"/> Distribution            | <input checked="" type="checkbox"/> Insurance     | <input type="checkbox"/> Private Intern. Law    |
| <input type="checkbox"/> Banking & Finance                          | <input type="checkbox"/> Domain name disputes    | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Public Intern. Law     |
| <input checked="" type="checkbox"/> Capital markets                 | <input checked="" type="checkbox"/> Employment   | <input type="checkbox"/> ISDS/ Foreign invest.    | <input type="checkbox"/> Real estate            |
| <input type="checkbox"/> CISG                                       | <input type="checkbox"/> Energy                  | <input type="checkbox"/> Joint ventures           | <input type="checkbox"/> Shipping               |
| <input type="checkbox"/> Civil fraud disputes                       | <input type="checkbox"/> Engineering             | <input type="checkbox"/> Licensing                | <input type="checkbox"/> Sports                 |
| <input checked="" type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment           | <input type="checkbox"/> Life sciences            | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Commodity market                           | <input type="checkbox"/> Healthcare & Pharmac.   | <input type="checkbox"/> Maritime arbitration     | <input type="checkbox"/> Technology             |
| <input type="checkbox"/> Company/Corporate/M&A                      | <input type="checkbox"/> Hotel/Gastro./Tour.     | <input type="checkbox"/> Media                    | <input type="checkbox"/> Telecommunication      |
| <input type="checkbox"/> Construction                               | <input type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Mining                   | <input type="checkbox"/> Transport              |
| <input checked="" type="checkbox"/> Contract law                    | <input type="checkbox"/> Infrastructure          | <input type="checkbox"/> Natural resources        |   |
| <input type="checkbox"/> Other _____                                |  |   |   |

13. Date of birth: 1957

I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

**X** I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to [office@viac.eu](mailto:office@viac.eu). The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

July 19, 2018

Date

and

/s/ ROBERT B. MORTON

Signature