

# VIAC – Questionnaire for Arbitrators

1. **Name:** Lisa Michele Richman

2. **Citizenship:** US

3. **Contact information:**

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4. **Current position:** Partner

5. **Education:**

- Georgetown University Law Center, Juris Doctor, 2002
- Haverford College, BA with highest departmental honors in Psychology, 1999
- German Abitur from the German School of Washington D.C. (Deutsche Schule Washington), 1995

6. **Practiced experience in arbitration**

- How many arbitrations have you participated in (domestic/international); under which Rules?
  - ca. seventy-five (75) international and domestic arbitrations under various rules, including:
    - ICSID;
    - ICSID AF;
    - UNCITRAL;
    - ICC;
    - LCIA;
    - HKIAC;
    - DIS;
    - AAA/ICDR;
    - SIAC;
    - CPR;
    - JAMS;
    - Swiss Rules;
    - ad hoc (no rules).
  
- How often have you acted as Chairman?

N/A
  
- How often have you acted as Sole Arbitrator?

N/A

- How often have you acted as Co-Arbitrator?

N/A

- How often have you acted as Counsel?

In ca. seventy-five (75) international and domestic arbitrations.

- How often have you acted in a different function (e.g. Administrative Secretary)?

N/A

**7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):**

- Recent speaking engagements / training sessions / seminars / conferences:

- 22 September 2017, "Effective Use of Experts in Arbitration", Eastern European Dispute Resolution Forum, Minsk, Belarus (speaker).
- 20 April 2017, "Top 10 Most Important Considerations in Oil and Gas Damages", ICDR's Looking Ahead: Effectively Arbitrating Complex Disputes in the Global Energy Sector, Houston, Texas (speaker).
- 11 March 2017, Organizer and instructor of course on witness and expert cross-examination, Frankfurt, Germany.
- 6-10 March 2017, Frankfurt Investment Arbitration Moot in Frankfurt, Germany (co-organizer with Goethe Universität and arbitrator).
- 30 March 2017, "Litigation and arbitration in different jurisdictions", Benchmark Women in Litigation, Chicago, Illinois (speaker).
- 3 November 2016, Co-Organizer of International Arbitration Conference "KIEV ARBITRATION DAYS 2016: THINK BIG!", Ukrainian Bar Association, Kiev, Ukraine.
- 12 March 2016, Organizer and instructor of course on witness and expert cross-examination, Frankfurt, Germany.
- 7– 11 March 2016, Frankfurt Investment Arbitration Moot in Frankfurt, Germany (co-organizer with Goethe Universität and arbitrator).
- 23 February 2016, "The Role of Tribunal Secretaries in Arbitral Proceedings", Georgetown International Arbitration Society, Georgetown University Law Center, Washington, DC (speaker).
- 5-6 November 2015, Co-Organizer of International Arbitration Conference "KIEV ARBITRATION DAYS 2015: THINK BIG!", Ukrainian Bar Association, Kiev, Ukraine.
- 2 October 2015, "Mock Argument – Should Country Risk Premiums be Included in Determining a Discount Rate? Shades of *Gold Reserve v. Venezuela* and *Exxon Mobil v. Venezuela*", Fourth Annual Juris Conference on Damages in International Arbitration, Vienna, Austria) (mock arbitrator / speaker).
- 11-13 June 2015, Faculty / speaker at Foundation for International Arbitration Advocacy's Course on Expert Witness Direct and Cross-Examinations, Washington, DC.
- 28-29 May 2015, Speaker at Court of Arbitration Lewiatan's Dispute Resolution in M&A Transactions - Tactics, Challenges and Defences, "Challenges and Future of Investment Arbitration", Warsaw, Poland (speaker).
- 15 March 2015: Organizer and instructor of course on witness and expert cross-examination, Frankfurt, Germany.

- 9 – 13 March 2015: Frankfurt Investment Arbitration Moot in Frankfurt, Germany (co-organizer with Goethe Universität and arbitrator).
- 13 March 2015, Speaker on Damages in International Arbitration, “Communication between Financial Experts and Arbitrators – Lost in Translation”, Frankfurt, Germany (speaker)
- 6-7 November 2014, Co-Organizer of International Arbitration Conference “KIEV ARBITRATION DAYS 2014: THINK BIG!”, Ukrainian Bar Association, Kiev, Ukraine.
- 3-4 November 2014, “Valuation, Compensation and Damages in International Arbitration”, AtlIAS Conference in Atlanta, Georgia (speaker).
- 15 March 2014: Organizer and instructor of course on witness and expert cross-examination, Frankfurt, Germany.
- 13 March 2014, “US Litigation Risks for Foreign Banks and Other Financial Institutions”, McKinsey / FIRM Innovation Platform, Frankfurt, Germany (speaker).
- 10 – 14 March 2014: Frankfurt Investment Arbitration Moot in Frankfurt, Germany (co-organizer with Goethe Universität and arbitrator).
- 10 March 2014: “The Process of International Arbitration: Are Procedural Practices still divergent or are they converging?”, Frankfurt, Germany (co-organizer and speaker; co-organized with Alumni and Friends of the School of International Arbitration of Queen Mary, University of London Queen Mary, AFSIA).
- 30 January 2014: Speaker at second McDermott International Seminar 2014 (on Investment Treaty Arbitration), Tokyo, Japan.
- 18 November 2013, “Damages in International Arbitration – from Case Filing to Arbitral Award”, Second Annual Juris Conference on Damages in International Arbitrations (speaker).
- 14-15 November 2013, Speaker (“Investment Protection and Government Contracts – Chances”) and Co-Organizer of International Arbitration Conference “KIEV ARBITRATION DAYS 2013: THINK BIG!”, Ukrainian Bar Association, Kiev, Ukraine.
- 23 April 2013, “Cross-Border disputes: Managing Multi-jurisdictional cases in an increasingly complex world”, ABA Section of International Law Spring Meeting, Washington, DC (speaker).
- 23 March 2013, Organizer and instructor of course on witness and expert cross-examination, Frankfurt, Germany.
- 18 – 22 March 2013, Frankfurt Investment Arbitration Moot in Frankfurt, Germany (co-organizer with Goethe Universität and arbitrator).
- 18 March 2013, “Illegality in International Investment Arbitration”, Alumni and Friends of the School of International Arbitration of Queen Mary, University of London, (AFSIA) (co-organizer and speaker).
- 15-16 November 2012, International Arbitration Conference “KIEV ARBITRATION DAYS 2012: THINK BIG!”, Ukrainian Bar Association, Kiev, Ukraine (co-organizer and speaker on “Preparing for Arbitration”).
- 17 March 2012, Organizer and instructor of course on witness and expert cross-examination, Frankfurt, Germany.
- 12 - 16 March 2012, Frankfurt Investment Arbitration Moot in Frankfurt, Germany (co-organizer with Goethe Universität and arbitrator).

- 12 March 2012, Roundtable Discussion on “Current Issues in Investment Arbitration Practice”, Alumni and Friends of the School of International Arbitration of Queen Mary, University of London, (AFSIA) (co-organizer and speaker).
- 14-15 November 2011, International Arbitration Conference “KIEV ARBITRATION DAYS 2011: THINK BIG!”, Ukrainian Bar Association, Kiev (co-organizer and speaker on “Managing Conflicts – Solving Disputes”).
- 22 September 2011, Conference, Exporta / Omni Bridgeway, "Funding & Managing Dispute Resolution", Amsterdam, Netherlands (speaker).
- 19 March 2011, Organizer and instructor of course on witness and expert cross-examination, Frankfurt, Germany.
- 14 – 18 March 2011, Frankfurt Investment Arbitration Moot in Frankfurt, Germany (co-organizer with Goethe Universität and arbitrator).
- 14 March 2011, co-organizer of Roundtable discussion on 14 March 2012, “Current Issues in Investment Arbitration Practice”, Alumni and Friends of the School of International Arbitration of Queen Mary, University of London, (AFSIA).
- Author, co-author or contributing author of numerous publications, including:
  - “Global Arbitration Review: The Guide to Damages in International Arbitration - Book Review”, *Transnational Dispute Management* (July 2017), Author
  - “Arbitrating Under the 2013 LCIA Rules”, *WoltersKluwer* (April 2015), Co-Author.
  - “Deciding on Arbitrability in International Arbitrations”, *Global Legal Post* (January 2014), Author.
  - “What Qualifies as an Investment? A Primer on Protecting Foreign Investments (Part 2)”, *Arbitration World*, December 2012.
  - “Investment Treaty Arbitration in Africa: Summary Overview”, *Arbitration World*, September 2012.
  - “Damages and Interest in Investment Treaty Arbitration”, in *Liber Amicorum for Neil Kaplan* (2012) (contributing author).
  - “Protection of Investments Owned by States”, in *International Investment Law* (2014) (contributing author).
  - “Protection for Non-Profit Organizations”, in *International Investment Law* (2014) (assisted author).
  - “Who Qualifies as an Investor? A Primer on Protecting Foreign Investments (Part 1)”, *Arbitration World*, June 2012.
  - “Venezuela’s Exit from ICSID”, *Arbitration World*, February 2012.
  - “Investment Treaty Protection for State Defaults on Sovereign Bonds – The Broader Implications of *Abaclat v. The Argentine Republic*”, October 2011.
  - “Venezuela Threatens to Terminate ICSID Membership”, September 2011.
  - “Arbitral Tribunal Confirms that ECT Binds Russia,” February 2010.
  - “Non-enforcement of Arbitral Awards: Only a Pyrrhic Victory”, *Transnational Dispute Management* (January 2010) and *REVISTA ROMĂNA DE ARBITRAJ* (August 2010) (contributing author).
  - Commentary on the LCIA Arbitration Rules in Schütze, “Institutionelle Schiedsgerichtsbarkeit”, 2nd ed. (2010) (German language chapter; contributing author).
  - Comment on German Bilateral Investment Treaties in “World Arbitration Reporter” (2009) (contributing author).

**8. Membership in arbitral institutions / functions in arbitral institutions/organizations:**

- Member of the DIS Rules Revision Committee
- Member of the SIAC Users Council;
- Member of the German Institution of Arbitration (DIS40);
- Member of the ITA Americas Committee;
- Member of the Swiss Arbitration Association (ASA);
- Member of the LCIA Young International Arbitration Group;
- Member of the ICC Young Arbitrators Forum.

**9. Languages**

Mother tongues:

- English
- German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language):

- English
- German
- Spanish
- French

**10. In which legal system have you trained?**

- US law;
- International law.

**11. What is your main jurisdiction of practice?**

- International law;
- US;
- Germany / Europe;
- CIS;
- Asia.

**12. Special expertise or specializations (please list a maximum of three):**

- Energy, power and infrastructure disputes;
- Joint venture and alleged contractual breaches;
- Intellectual property / patent / trademark.

**13. Date of birth:** 5 November 1976

I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate. I understand that the above information will be used for VIAC's internal use and may be given to interested persons and may be put on VIAC's website.

Date and signature

Date: 29 September 2017