

VIAC – Questionnaire for Arbitrators

1. Name: Lukas Röper

2. Citizenship: Austria

3. Contact information:

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4. Current position:

Partner at Austrian law firm rk partners rechtsanwaelte GmbH

Partner at Austrian business consultancy rk advisory GmbH

Supervisory Board Member at FAME Investments AG

University Lecturer at the University of Vienna, Austria

5. Education:

2009: Admitted to the Austrian Bar

2009: Doctor Juris, University of Vienna, Austria

2004: Magister Juris, University of Linz, Austria

2002: Master of Laws (LL.M.) – European Law, University College Dublin, Ireland

2001: East European Studies Program, University of Economics Prague, Czech Republic

1999: Transnational Dispute Resolution Program, Georgia State University, USA

Licensed Business Consultant, Austrian Trade Authority, Austria

Certified Compliance Officer, Business Circle, Austria

Certified Data Protection Officer, Business Circle, Austria

6. Practiced experience in arbitration

- How many arbitrations have you participated in (domestic/international); under which Rules?

I have and I am acting as counsel and expert witness in more than 10 arbitrations conducted under ICC, DIAC, FIAC, HKIAC, SIAC, ICSID, UNCITRAL,

- How often have you acted as Counsel?

I have acted as counsel or co-counsel in more than 5 arbitrations.

- How often have you acted in a different function?

I have acted as witness expert for Sanctions and Emargo Law, with a particular focus on Russia and Iran related sanctions, in more than 5 arbitrations.

7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

Publications

The concept of deposit in relation to correspondent banking relationships in sanctions law (ZFR 2024)

EU Sanctions - Transactions with Correspondent Banks (ecolex 2024/506, 2024)

Schemes of Arrangement as Restructuring Means (RdW 2011, 333);

M&A Agreements in Germany: Author of the Austrian section (Beck Verlag, 2011);

The Share Purchase Agreement in the Austrian M&A Practice (EuroJuris 2009);

Due Diligence and Warranty Provisions in the Share Purchase Agreement (GesRZ 2009, 136);

The Share Purchase Agreement and Consummation of a Transaction under Merger Control Laws (RdW 2009, 416);

Covenants and the Prohibition to Consummate a Transaction (RdW 2009, 831);

Notification of Defects Required for Share Deals? (Ecolex 2009, 935);

Effects Doctrine under Merger Control Laws and Hold Separate Agreements (Wbl 2009, 379);

Seminars & Conferences

I am a seasoned speaker at seminars and conferences, where I address topics related to banking law, finance, and corporate M&A. With a specific expertise in sanctions and embargo regulations, I often lecture on compliance and strategic implications for countries like Iran and Russia. My focus lies particularly on sanctions in the oil and gas sector, as well as challenges involving frozen assets and import-export restrictions.

In addition, I am a lecturer at the University of Vienna, where I teach banking M&A. This engagement allows me to bridge the gap between theory and practice, leveraging my extensive experience to enhance students' understanding of real-world legal and business complexities. My lectures often incorporate insights from my work on high-stakes cases in both international and local regulatory environments.

8. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English, Basic Russian

9. In which legal systems have you trained?

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law | |
| <input checked="" type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law | <input checked="" type="checkbox"/> European Law |

Other _____

10. What is your main jurisdiction of practice?

Austria

11. In which jurisdictions are you admitted to the bar?

Austria

12. Special expertise or specializations (please list a maximum of five):

- | | | | |
|---|--|---|---|
| <input type="checkbox"/> Antitrust/Unfair competition | <input type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Natural resources |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Power plants |
| <input checked="" type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Private Intern. Law |
| <input checked="" type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input type="checkbox"/> Investment arbitration | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> CISG | <input type="checkbox"/> Energy | <input checked="" type="checkbox"/> ISDS/Foreign inves. | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input checked="" type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | <input type="checkbox"/> Licensing | <input type="checkbox"/> Sports |
| <input type="checkbox"/> Commodity market | <input type="checkbox"/> Healthcare & Pharmac. | <input type="checkbox"/> Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Company/Corporate/M&A | <input type="checkbox"/> Hotel/Gastro./Tour. | <input type="checkbox"/> Maritime arbitration | <input type="checkbox"/> Technology |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Media | <input type="checkbox"/> Telecommunication |
| <input type="checkbox"/> Contract law | <input type="checkbox"/> Infrastructure | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
- Sanctions and Emargo Law

13. Date of birth: 24.07.1979

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

04.11.2024

Date