

VIAC – Questionnaire for Arbitrators

1. Name: Dr. Dorothee Schramm

2. Citizenship: Swiss / German

3. Contact information:

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4. Current position: Independent arbitrator

5. Education:

- Swiss bar admission, Zurich (summa cum laude – “Teilerlass”) – 2007
- Doctor of law (Dr. iur.), Lucerne, Switzerland (summa cum laude) – 2004
- First State Exam, University of Göttingen, Germany (summa cum laude, first in state) – 2001

6. Practiced experience in arbitration

- How many arbitrations have you participated in (domestic/international); under which Rules?

Over 65 international arbitrations under the ICC Rules, Vienna Rules, Swiss Rules, DIS Rules, UNCITRAL Rules, WIPO Rules, and ad hoc rules

- How often have you acted as Chairman? **6 times** (as of March 2023)
- How often have you acted as Sole Arbitrator? **11 times** (as of March 2023)
- How often have you acted as Co-Arbitrator? **6 times** (as of March 2023)
- How often have you acted as Counsel? **35 times** (as of March 2023)
- How often have you acted in a different function (e.g. Administrative Secretary)? **8 times** (as of March 2023)

7. Publications and other activities in arbitration (e.g. training sessions, seminars, conferences, articles and others):

Recent publications (further publications can be found at <https://swissarbitrator.com/insights>):

- Schiedsverfahren und anwendbares Recht [Arbitral proceedings and applicable law], in: Hellwig Torggler (ed.), Handbuch Schiedsgerichtsbarkeit, Nomos / Verlag Österreich / Schulthess, 3rd ed. Forthcoming, 2nd ed. 2017, 1st 2007 (with Nathalie Voser and Florian Haugeneder)
- Alternative Dispute Resolution Provisions in Life Sciences Agreements, LexisNexis, status October 2022 (between June 2020 and October 2021 with Benjamin Moss)

- Trends in International Arbitration: The Fallout from COVID-19, Pharmaceutical Technology Europe, July 2022 (with Tanya Landon)
- Statutory Arbitration Clauses of Swiss Companies, 39 ASA Bulletin 4/2021, pp. 778-790 (with Boris Catzefflis; article originally published in French in Plaidoyer 4/2021, pp. 34-38)
- The Growth of International Arbitration in the Life Sciences Sector, Pharmaceutical Executive, April 2021 (with Katie von der Weid)
- How to Obtain Effective Relief When a Global Pandemic Disrupts Your Supply Chain, In Vivo, May 2020
- Coronavirus: what you need to know about force majeure, Chartered Institute of Procurement & Supply, 23 March 2020
- International IP Arbitration – A Blessing or a Bad Idea?, In Vivo, October 2019
- Contract Disputes Trends In Medtech: New Trends In Dispute Management To Save Time And Money, In Vivo, May 2019
- Conflict Management Strategies And Dispute Resolution Clauses – Ensuring Your International Contract Will Be Enforced, In Vivo, February 2019
- Review of the Recent Case Law of the Swiss Federal Supreme Court, in: Christoph Müller et al. (ed.), New Developments in International Commercial Arbitration 2018, Stämpfli Editions, 2018, pp. 55-104
- Commentary on Article 4 Swiss Rules and Articles 16-20 & 22 ICC Rules, in Manuel Arroyo (ed.), Arbitration in Switzerland – The Practitioner's Guide, Kluwer Law International, 2nd ed. 2018 and 1st ed. 2013 (Art. 22 with Kirstin Dodge)

Recent speaking engagements (further speaking engagements can be found at <https://swissarbitrator.com/insights>):

- Moderator and speaker, “Protection of Trade Secrets and Other Confidential Information,” ASA Local Group Zurich and ZAV Fachgruppe Schiedsgerichtsbarkeit, Zurich, 21 March 2023
- Speaker, “Pharma and Life Sciences Disputes” (patent arbitration / long-term collaboration agreements and dispute boards), 5th Schiefelbein Global Dispute Resolution Conference, Phoenix, 13 January 2023
- Speaker, “Comparative Approach to International Public Policy,” 42nd ICC Institute of World Business Law Annual Conference: Navigating the New Contents of International Public Policy – Compliance in Environment and Human Rights, Paris, 6 December 2022
- Speaker, “Le rôle des institutions et associations d’arbitrage dans le paysage arbitral national”, Conference organised by ASA, AFA and the Ordres des Avocats of Geneva and Paris, Le rayonnement d’une place d’arbitrage aujourd’hui et demain: Regards croisés et comparés franco-suissees, Geneva, 7 October 2022
- Speaker, “A Comparative Analysis of International Arbitration Jurisdictions (US and Europe),” Webinar of the Silicon Valley Arbitration & Mediation Center (SVAMC) and Arbitration Ireland, 17 May 2022
- Host and speaker, “Resolving IP disputes in arbitration: a blessing or a curse?,” In-House Counsel Seminar Series, 9 December 2021
- Host and speaker, “Drafting International Life Sciences Contracts to Avoid Common Disputes,” In-House Counsel Seminar Series, 24 June 2021
- Speaker, “Moving Case Management to the Next Level Thanks to Technology,” ICC Prague Arbitration Day 2021, 18 March 2021
- Speaker, “Third Parties in International Dispute Resolution,” St. Petersburg Pre-Moot Conference, 18 March 2021
- Speaker, “Third-Party Effects of Exclusive Licenses,” Young IMA Conference for the 12th Moscow Pre-Moot, 12 March 2021

- Speaker, “Reference Pricing and Commercial Contracts: What Pitfalls Are Lurking,” Sidley Life Sciences College, 24-25 February 2021
- Speaker, “Joinder of Parties – Insights and Experiences,” Webinar of the Swiss Arbitration Centre and the Swiss Arbitration Association, 11 February 2021

8. Membership in arbitral institutions / functions in arbitral institutions/organizations:

- Member of the Executive Committee and the Board of the Swiss Arbitration Association (ASA)
- Member of the International Advisory Board of the Vienna International Arbitral Centre (VIAC)
- Panel of Arbitrators of the ICC, International Centre for Dispute Resolution (ICDR), Hong Kong International Arbitration Centre (HKIAC), eBRAM
- Member of the Disciplinary Commission of the Gymnastics Ethics Foundation (GEF)
- Former alternate member of the Appointment Committee of the German Institution of Arbitration (DIS)
- Member of the Austrian Arbitration Association (ArbAut), International Bar Association (IBA), International Chamber of Commerce (ICC) Switzerland, International Council of Commercial Arbitration (ICCA), ArbitralWomen

9. Languages

Mother tongue: German

Working languages (i.e. languages in which you have both a spoken and written command so that you may conduct arbitral proceedings in this language): English and French

10. In which legal systems have you trained?

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Civil Law | <input checked="" type="checkbox"/> Common Law | |
| <input type="checkbox"/> Austrian Law | <input type="checkbox"/> Hungarian Law | <input checked="" type="checkbox"/> Swiss Law |
| <input type="checkbox"/> Czech Law | <input type="checkbox"/> Polish Law | <input type="checkbox"/> Ukrainian Law |
| <input checked="" type="checkbox"/> German Law | <input type="checkbox"/> Slovakian Law <input type="checkbox"/> | <input checked="" type="checkbox"/> European Law |

Other _____

11. What is your main jurisdiction of practice?

Switzerland

12. In which jurisdictions are you admitted to the bar?

Switzerland

13. Special expertise or specializations (please list a maximum of five):

- | | | | |
|--|--|---|---|
| <input type="checkbox"/> Antitrust/Unfair competition | <input type="checkbox"/> Damages | <input type="checkbox"/> Insolvency | <input type="checkbox"/> Natural resources |
| <input type="checkbox"/> Aviation | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Power plants |
| <input type="checkbox"/> Banking & Finance | <input type="checkbox"/> Domain name disputes | <input type="checkbox"/> Intellect. property (IP) | <input type="checkbox"/> Private Intern. Law |
| <input type="checkbox"/> Capital markets | <input type="checkbox"/> Employment | <input type="checkbox"/> Investment arbitration | <input type="checkbox"/> Public Intern. Law |
| <input type="checkbox"/> CISG | X Energy | <input type="checkbox"/> ISDS/Foreign inves. | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> Civil fraud disputes | <input type="checkbox"/> Engineering | <input type="checkbox"/> Joint ventures | <input type="checkbox"/> Shipping |
| <input type="checkbox"/> Commercial disp. / transactions | <input type="checkbox"/> Entertainment | X Licensing | <input type="checkbox"/> Sports |
| <input type="checkbox"/> Commodity market | X Healthcare & Pharmac. | X Life sciences | <input type="checkbox"/> State/Public contracts |
| <input type="checkbox"/> Company/Corporate/M&A | <input type="checkbox"/> Hotel/Gastro./Tour. | <input type="checkbox"/> Maritime arbitration | X Technology |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Inform. technology (IT) | <input type="checkbox"/> Media | <input type="checkbox"/> Telecommunication |
| <input type="checkbox"/> Contract law | X Infrastructure | <input type="checkbox"/> Mining | <input type="checkbox"/> Transport |
| <input type="checkbox"/> Other _____ | | | |

14. Date of birth: 12 August 1976

VIAC offers arbitration practitioners the possibility to present themselves on its website. VIAC reserves the right to publish any presentations submitted and to remove it as the case may be. Parties are free to nominate an arbitrator of their choice and so is the VIAC Board when appointing an arbitrator. These presentations do not constitute recommendations but may assist the parties in choosing an arbitrator willing to conduct proceedings according to the Vienna Rules. The fact that an arbitration practitioner appears on this list, does not authorize this person to use the title "VIAC-arbitrator".

X I have completed this questionnaire to the above to the best of my knowledge and believe they are accurate.

X I hereby consent that the data provided in this questionnaire may be processed for the appointment of arbitrators and published by VIAC. This includes in particular publication on the website of VIAC as well as use in any presentations, etc. This consent may be withdrawn at any time by contacting VIAC at our general contacts, in particular by email addressed to office@viac.eu. The consequence of any such withdrawal will be that my data will no longer be processed by VIAC. For further information, see our privacy statement at <http://www.viac.eu>.

13 April 2023
Date